

# Bounded Branching and Modalities in Non-Deterministic Planning

Blai Bonet

Departamento de Computación

Universidad Simón Bolívar

Caracas, Venezuela

# Introduction

---

- We consider variations on the task of deciding the existence of solutions for non-deterministic planning problems:
  - Bounds in the number of branch points in a plan
  - Extensions of the description language with modal formulae
- The first applies to planning problems with complete and partial information; the first treatment of this problem appears to be [Meuleau & Smith, 2003]
- The second variation only applies to the case of planning problems with partial information

# Goals of This Talk

---

- Make an overview of (some) known results about complexity of planning
- Motivate the relevance of proposed variations
- Make an overview of the new complexity results

# Outline

---

- Planning with Complete Information
  - Classical (deterministic) planning
  - Non-deterministic planning (aka contingent planning)
  - Conformant and plans with bounded branching
- Planning with Partial Information
  - Contingent planning
  - Conformant and plans with bounded branching
- Two Special Cases

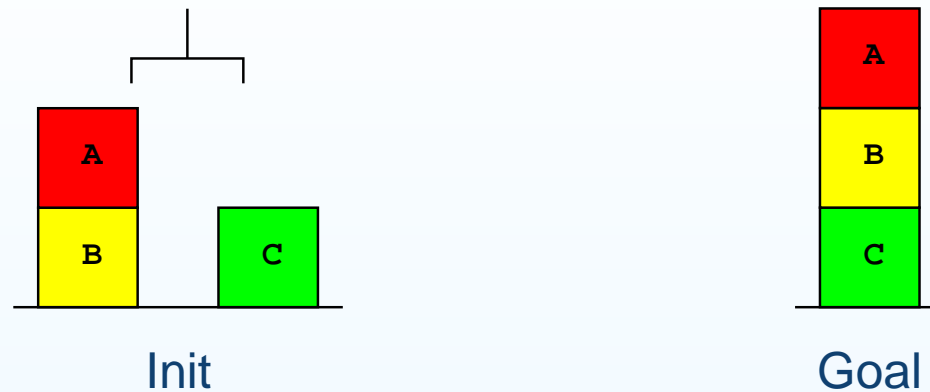
# Background: Deterministic Models

- Understood in terms of:
  - a discrete and finite state space  $S$
  - an initial state  $s_0 \in S$
  - a non-empty set of goal states  $G \subseteq S$
  - actions  $A(s) \subseteq A$  applicable in each state  $s$
  - a function that maps states and actions into states  $f(a, s) \in S$
- **Solutions:** sequences  $(a_0, \dots, a_n)$  of actions that “transform”  $s_0$  into a goal state

# Background: Description Language

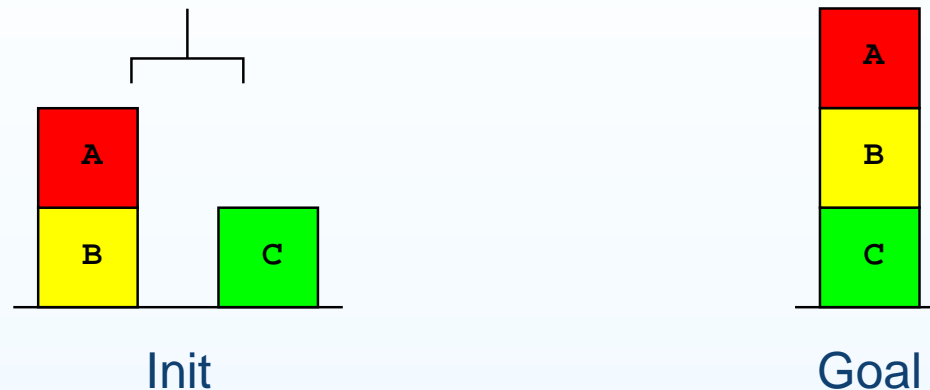
- Propositional language used to **compactly** describe the transition function and the applicable actions
- States are valuations to propositional symbols
- We use an action language similar to that in [Rintanen, 2004]:
  - Actions are pairs  $\langle prec, effect \rangle$
  - $prec$  is a propositional formula used to define  $A(s)$
  - Effects include atomic effects, conditional effects and conjunctions
- Initial state defined by the set  $I$  of propositions that hold true
- Goal states defined by a propositional formula  $\Phi_G$

## Example – Blocksworld (Deterministic)



- Propositions:
  - Blocks' positions:  $\{\text{on-table}(B), \text{on}(A, B), \text{on-table}(C)\}$
  - Others:  $\{\text{clear}(A), \text{clear}(C), \text{empty-hand}\}$

## Example – Blocksworld (Deterministic)



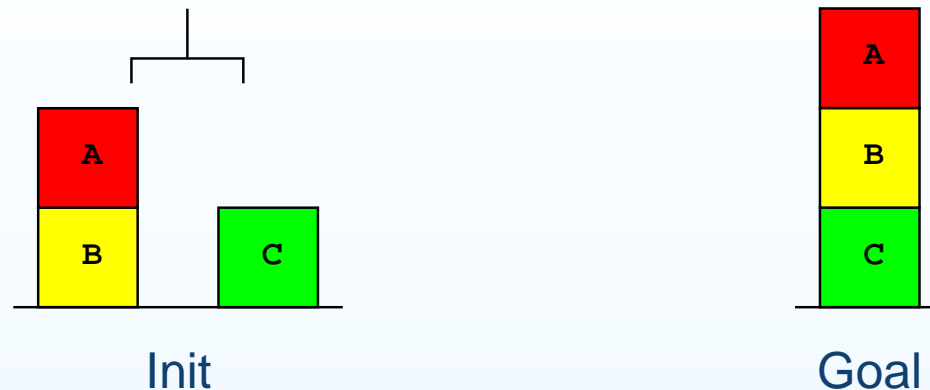
- Propositions:

- Blocks' positions:  $\{on\text{-}table(B), on(A,B), on\text{-}table(C)\}$
- Others:  $\{clear(A), clear(C), empty\text{-}hand\}$

- Actions:

- **unstack(A, B):**  
 $\langle empty\text{-}hand \wedge clear(A) \wedge on(A,B), holding(A) \wedge clear(B) \wedge \neg on(A,B) \rangle$
- **pick(A):**  $\langle empty\text{-}hand \wedge clear(A) \wedge on\text{-}table(A), holding(A) \wedge \neg on\text{-}table(A) \rangle$
- **stack(A, B):**  $\langle holding(A) \wedge clear(B), empty\text{-}hand \wedge on(A,B) \wedge \neg holding(A) \rangle$
- **drop(A):**  $\langle holding(A), empty\text{-}hand \wedge on\text{-}table(A) \wedge \neg holding(A) \rangle$

## Example – Blocksworld (Deterministic)



- Propositions:

- Blocks' positions:  $\{on\text{-}table(B), on(A,B), on\text{-}table(C)\}$
- Others:  $\{clear(A), clear(C), empty\text{-}hand\}$

- Actions:

- **unstack(A,B):**  
 $\langle empty\text{-}hand \wedge clear(A) \wedge on(A,B), holding(A) \wedge clear(B) \wedge \neg on(A,B) \rangle$
- **pick(A):**  $\langle empty\text{-}hand \wedge clear(A) \wedge on\text{-}table(A), holding(A) \wedge \neg on\text{-}table(A) \rangle$
- **stack(A,B):**  $\langle holding(A) \wedge clear(B), empty\text{-}hand \wedge on(A,B) \wedge \neg holding(A) \rangle$
- **drop(A):**  $\langle holding(A), empty\text{-}hand \wedge on\text{-}table(A) \wedge \neg holding(A) \rangle$

- Plan:  $(unstack(A,B), drop(A), pick(B), stack(B,C), pick(A), stack(A,B))$

# Non-Deterministic Planning with Complete Information

---

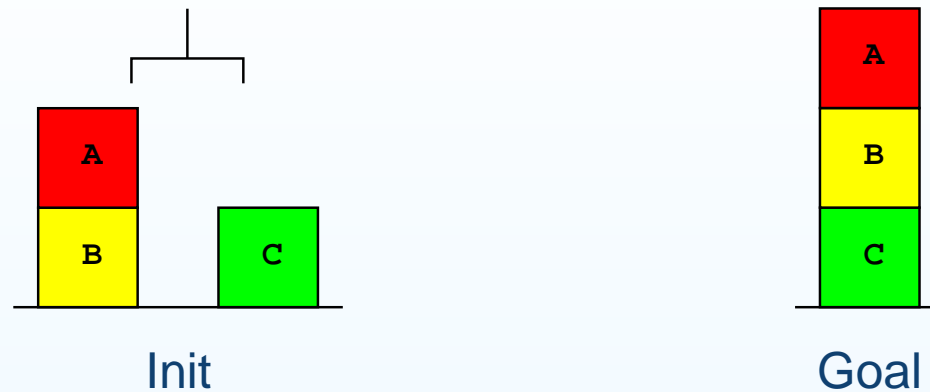
- Non-deterministic planning deals with problems where actions might have more than one outcome (non-deterministic actions)
- After the application of an action, the agent **observes the state** of the system and chooses next action
- This is a **branch point** in the plan!
- Another possibility is to apply a **sequence of actions blindly**, make a single observation at the end, and then choose next sequence of actions
- This is also a branch point in the plan!
- It is a natural to ask whether there exist plans of bounded branching

# Non-Deterministic Models

---

- As deterministic models but transition function maps states and actions into **sets of states**  $F(a, s) \subseteq S$
- There can be more than one initial state described by formula  $\Phi_I$
- Description language extended with non-deterministic effects
- Solutions cannot be sequences of actions!
- Solutions are tree-like structures called **contingent plans**

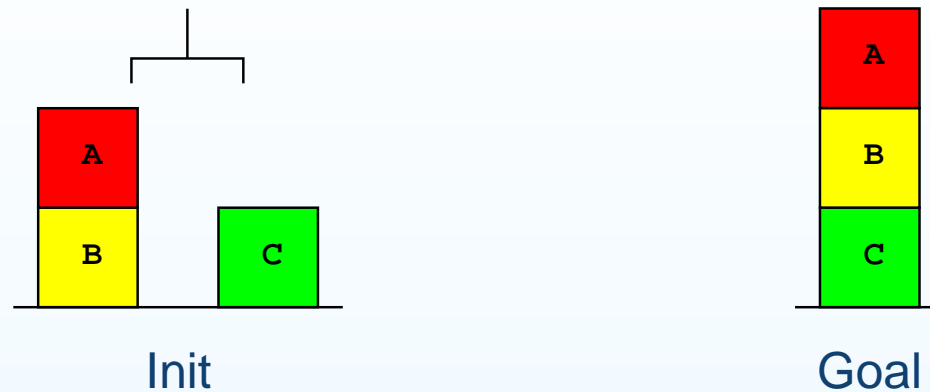
## Example – Blocksworld (Non-Deterministic)



- New Action:
  - **unstack(A,B):**

$\langle \text{empty-hand} \wedge \text{clear}(A) \wedge \text{on}(A,B),$   
 $\text{holding}(A) \wedge \text{clear}(B) \wedge \neg \text{on}(A,B) \oplus$   
 $\text{clear}(B) \wedge \text{on-table}(A) \wedge \neg \text{on}(A,B) \rangle$

# Example – Blocksworld (Non-Deterministic)



- New Action:

- **unstack(A,B):**

$\langle \text{empty-hand} \wedge \text{clear}(A) \wedge \text{on}(A,B),$   
 $\text{holding}(A) \wedge \text{clear}(B) \wedge \neg \text{on}(A,B) \oplus$   
 $\text{clear}(B) \wedge \text{on-table}(A) \wedge \neg \text{on}(A,B) \rangle$

- Contingent Plan:

$\text{unstack}(A,B) \left\{ \begin{array}{l} \text{drop}(A), \text{pick}(B), \text{stack}(B,C), \text{pick}(A), \text{stack}(A,B) \\ \text{pick}(B), \text{stack}(B,C), \text{pick}(A), \text{stack}(A,B) \end{array} \right.$

# Complexity of Deterministic and Non-Deterministic Planning

- PLAN-DET is PSPACE-Complete [Bylander, 1994]
- Deciding existence of solution for a contingent problem with full observability (i.e. PLAN-FO-CONT) is EXPTIME-complete
- Shown by [Rintanen, 2004] using Alternating TMs with polynomial space bound

Problem	Complete for	Reference
PLAN-DET	PSPACE	[Bylander, 1994]
PLAN-FO-CONT	EXPTIME	[Rintanen, 2004]

# Conformant Planning

- Let's consider actions of the form:

- **drop(A)**:  $\langle true, (\text{holding}(A) \triangleright \text{empty-hand} \wedge \text{on-table}(A) \wedge \neg \text{holding}(A)) \rangle$

in which the **precondition** has been moved into a **conditional effect**

# Conformant Planning

- Let's consider actions of the form:

- **drop(A)**:  $\langle true, (\text{holding}(A) \triangleright \text{empty-hand} \wedge \text{on-table}(A) \wedge \neg \text{holding}(A)) \rangle$

in which the **precondition** has been moved into a **conditional effect**

- It's not hard to show that the plan:

`pick(A), drop(A), pick(B), drop(B), pick(C), drop(C), pick(A), drop(A),`

`pick(B), drop(B), pick(C), drop(C), pick(B), stack(B,C), pick(A), stack(A,B)`

achieves the goal (i.e. A on B on C) **no matter what's the initial situation**

# Conformant Planning

- Let's consider actions of the form:

- **drop(A)**:  $\langle true, (holding(A) \triangleright empty-hand \wedge on-table(A) \wedge \neg holding(A)) \rangle$

in which the **precondition** has been moved into a **conditional effect**

- It's not hard to show that the plan:

`pick(A), drop(A), pick(B), drop(B), pick(C), drop(C), pick(A), drop(A),`

`pick(B), drop(B), pick(C), drop(C), pick(B), stack(B,C), pick(A), stack(A,B)`

achieves the goal (i.e. A on B on C) **no matter what's the initial situation**

- This plan is called **conformant** [Goldman & Boddy, 1996; Smith & Weld, 1998]

# Conformant Planning

- Let's consider actions of the form:

- **drop(A)**:  $\langle true, (holding(A) \triangleright empty-hand \wedge on-table(A) \wedge \neg holding(A)) \rangle$

in which the **precondition** has been moved into a **conditional effect**

- It's not hard to show that the plan:

`pick(A), drop(A), pick(B), drop(B), pick(C), drop(C), pick(A), drop(A),`

`pick(B), drop(B), pick(C), drop(C), pick(B), stack(B,C), pick(A), stack(A,B)`

achieves the goal (i.e. A on B on C) **no matter what's the initial situation**

- This plan is called **conformant** [Goldman & Boddy, 1996; Smith & Weld, 1998]
- **A conformant plan is a no-branch plan for a non-deterministic planning problem with full observability!!**

# Complexity of Conformant Planning

- Checking the existence of a conformant plan (i.e. PLAN-FO-CONF) is EXPSPACE-complete
- Shown by [Haslum & Jonsson, 1999] using Regular Expressions with Exponentiation and Non-deterministic Finite Automata with Counters

Problem	Complete for	Reference
PLAN-DET	PSPACE	[Bylander, 1994]
PLAN-FO-CONT	EXPTIME	[Rintanen, 2004]
PLAN-FO-CONF	EXPSPACE	[Haslum & Jonsson, 1999]

# Plans of Bounded Branching

---

- Contingent and conformant planning are **extreme** points of a discrete yet infinite range of solution forms:
  - Conformant = No branch
  - Contingent = Unbounded branch
- In the middle, we can think of plans with no more than  $k$  branches

# Plans of Bounded Branching

- Contingent and conformant planning are **extreme** points of a discrete yet infinite range of solution forms:
  - Conformant = No branch
  - Contingent = Unbounded branch
- In the middle, we can think of plans with no more than  $k$  branches
- Checking the existence of a contingent plan with at most  $k$  branches (i.e. PLAN-FO-CONT- $k$ ) is EXPSPACE-complete
- Proof similar to Haslum & Jonsson's for conformant planning

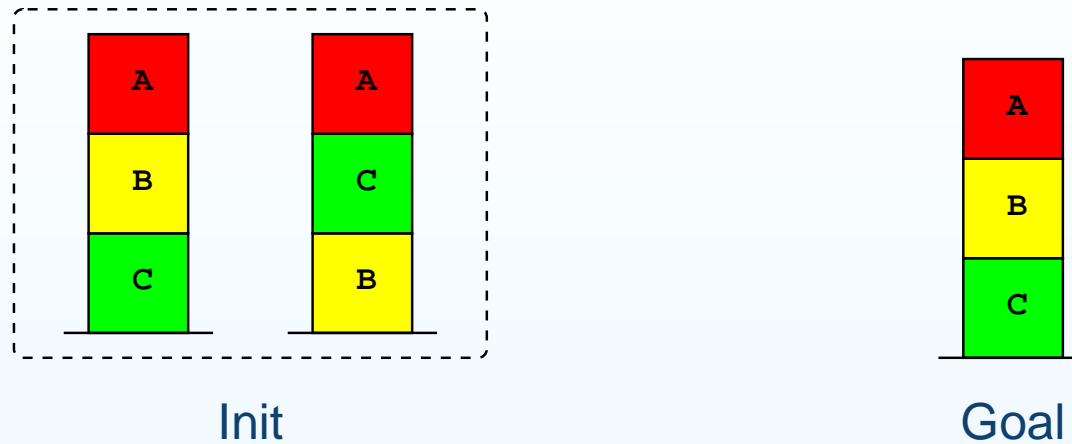
Problem	Complete for	Reference
PLAN-DET	PSPACE	[Bylander, 1994]
PLAN-FO-CONT	EXPTIME	[Rintanen, 2004]
PLAN-FO-CONF	EXPSPACE	[Haslum & Jonsson, 1999]
PLAN-FO-CONT- $k$	EXPSPACE	<b>New</b>

# Problems with Partial Information

---

- Arise when the agent **cannot fully observe the state of the system**
- The agent receives some information after the execution of an action:
  - Full (the state is revealed)
  - Partial (e.g. the truth value of a proposition is revealed)
  - Null
- After the feedback is received, the agent chooses the next action
- This is a branch-point in the plan!

## Example – Blocksworld (Partial Information)



- Observables:  $Z = \{\text{clear}(A), \text{clear}(B), \text{clear}(C)\}$
- Current Knowledge: Block A is clear
- Contingent Plan:

$\text{pick}(A) \left\{ \begin{array}{l} \text{stack}(A, B) \\ \text{drop}(A), \text{pick}(C), \text{drop}(C), \text{pick}(B), \text{stack}(B, C), \text{pick}(A), \text{stack}(A, B) \end{array} \right.$

## Another Example – Game of Mastermind

---

- A simple game played by a codemaker and codebreaker:
  - Codemaker chooses a **secret code** at the beginning
  - Codebreaker must **discover** the code by making **guesses**
- Each guess answered with two tokens of information:
  - the number of matches in the guess
  - the number of “near” matches in the guess

## Another Example – Game of Mastermind

---

- A simple game played by a codemaker and codebreaker:
  - Codemaker chooses a **secret code** at the beginning
  - Codebreaker must **discover** the code by making **guesses**
- Each guess answered with two tokens of information:
  - the number of matches in the guess
  - the number of “near” matches in the guess
- The dynamics of the game can be modeled as a non-deterministic planning problem with partial information (the secret code is unknown)

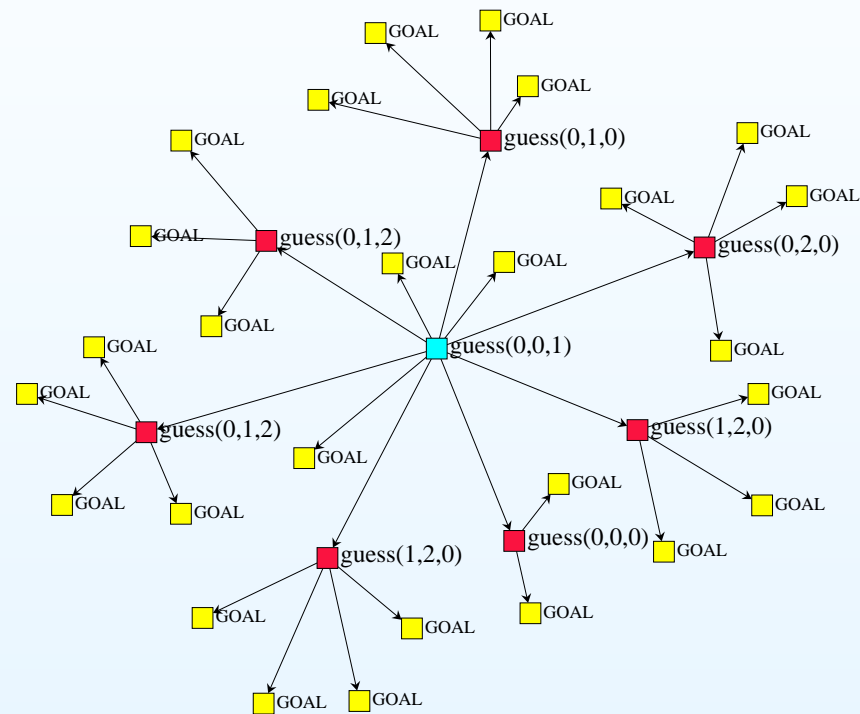
## Another Example – Game of Mastermind

---

- A simple game played by a codemaker and codebreaker:
  - Codemaker chooses a **secret code** at the beginning
  - Codebreaker must **discover** the code by making **guesses**
- Each guess answered with two tokens of information:
  - the number of matches in the guess
  - the number of “near” matches in the guess
- The dynamics of the game can be modeled as a non-deterministic planning problem with partial information (the secret code is unknown)
- However, the **goal of the game** (which is to know the secret code) **cannot be expressed in the language**
- A **modal formula** is needed to represent such a goal!!

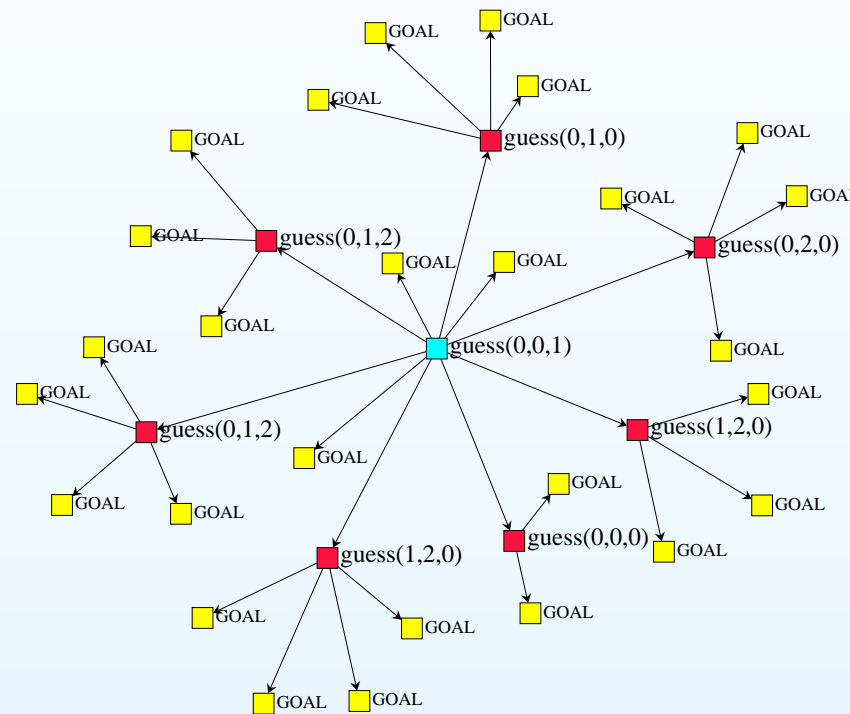
# Mastermind: 3 colors, 3 pegs

- Contingent Plan:



# Mastermind: 3 colors, 3 pegs

- Contingent Plan:



- We can also compute a **conformant plan** for this task!
- The following plan discovers the secret code no matter what's its value

`guess(2,0,0)` , `guess(2,1,0)` , `guess(2,2,1)` .

# Complexity of Planning with Partial Information

- Checking the existence of a contingent plan (i.e. PLAN-PO-CONT) is 2EXPTIME-complete
- Shown by [Rintanen, 2004] using Alternating TMs with **exponential** space bound
- Checking the existence of conformant plans for partially observable problems with modal formulae is 2EXPSPACE-complete
- Shown using automatas with counters of double exponential capacity
- Checking the existence of plans with bounded number of branches has same complexity of the conformant task

Problem	Complete for	Reference
PLAN-PO-CONT	2EXPTIME	[Rintanen, 2004]
PLAN-PO-CONF	2EXPSPACE	<b>New</b>
PLAN-PO-CONT- $k$	2EXPSPACE	<b>New</b>

## Two Special Cases

- Existence of plans of bounded branching of **polynomial** length (either full or partial observable case):
  - Can be done with QBFs!
  - Indeed, checking the existence of a plan with at most  $k$  branches is in  $\Sigma_{2k+4}^P$

## Two Special Cases

- Existence of plans of bounded branching of **polynomial** length (either full or partial observable case):
  - Can be done with QBFs!
  - Indeed, checking the existence of a plan with at most  $k$  branches is in  $\Sigma_{2k+4}^P$
- Existence of conformant plans for partially observable problems **without** modal formulae is EXPSPACE-complete

# Summary

- Considered two variations on the existence of plans:
  - Plans of bounded branching for full and partially observable problems
  - Extension of description language with modalities for planning with incomplete information
- Analyzed and derived tight bounds on the complexity of novel decision problems